SIP REGISTRATION CUM MANDATE FORM (OTM/PDC)

X



New Investors subscribing to the Existing Investors mention your f	=		te this form comp	oulsorily alongwith	Common Application	n Form				
Application should be submitted atlea	-	e the 1st debit ase ✓ as appropri	ate)							
ARN* / RIA Code / PMRN ARN / RIA / PM			ame S	Sub-broker Code	Sub-broker ARN Code	RM Code	Employee U Identification Nun		Time Stamp No.	
Upfront commission shall be paid of #By mentioning RIAcode (Registered Inve By mentioning PMRN code (Portfolio Mana Declaration for "execution-only" transactio employee/relationship manager/sales per advisory fees on this transaction.	stmentAdviser), I/w ager's Registration I on (only where EUI	ve authorize you to shar Number), I/we authorize N box is left blank). * I/ stributor or notwithstan	e the investment Advis a you to share with the We hereby confirm the ding the advice of in-a	ser the details of my/ou SEBI-Registered Port nat the EUIN box has	r transactions in the schem folio Manager the details of been intentionally left blar	ne(s) of LIC Mutu f my/our transact nk by me/us as t ee/relationship m	al Fund. ions in the scheme(s) of LIC M his is an "execution-only" tran	utual Fund. Isaction without any	r interaction or advice by the	
SIGN F		8	SIGN HERE			SIGN HERE				
	Second Applicant				Third Applicant					
01. INVESTOR NAME AN	DETAILS			Existing unit he	olders: Please mentior	n vour Folio Ni	umber. New applicants: P	lease/mention C	ommon Application No.	
	or Namo		FIRST		MIDDLE		LAST			
First Applicant's Name/Mine 02. SIP DETAILS (Please		For multiple S		e use the "Mu		n Annlicat			KYC	
SIP with first Cheque	IP without Che		e use the "Multiple SIP Common Appl SIP through Post Dated Cheque			SIP through registered OTM				
Scheme Name / Plan / Option	SIP Installment Amount (`)	SIP Date (Please ✓ one)	Frequency (Please ✓ one)	Enrollment	Enrollment Period (Please ✓ one)		LIC MF STEP - UP Facility (Optional)			
LIC MF		DD	Daily	Start Date	End Date)	Amount	Frequency	Upto Date	
Plans: Planse Direct Regular Option: Please tick (~) Growth Payout of Income Distribution cum capital withdrawal option Reinvestment of Income Distribution cum capital withdrawal option		(Any date from 1 st to 28 th of a given month, Default date is 10th)		From MMYYY	To (Maximum period is allowed only 40 yrs)		(Multiples of `1 thereafter)* Please refer Instruction No. ix (d) Half Yearly (Default)		y MMYYYYY (Mention End Date) (Default is SIP End Date)	
Please tick (✓), Default Optio can be for maximum duration 03. SIP THROUGH POST No. of cheques enclosed in	of 40 years f	rom the date of QUES	application.	e under LIC MF rawn on Bank a		d. **As per l	NPCI Circular dated	29th Decemb	er, 2023,mandate	
Account type			Cheque No	. should be in	continuous serie	es From		То		
04. SIP THROUGH REGIS	TERED ONE	TIME MANDAT	E (OTM)			· ,				
UMRN 05. SIP THROUGH FIRST	CHEQUE				(First cheque is not mandatory, if you have opted for SIP through registered OTM)					
Cheque No										
Bank Name	ame City									
06. DECLARATION & SIG IWe hereby declare that the particulars providers and bank are authorized to pr not hold the user institution responsible SIP installments in rolling 12 months p commissions (in the form of trail comm understood and agreed to the terms and for which I/We have signed and endorss provided by me/us in this Application Fo	given in this mand ocess transaction . I/We will also info period or financial hission or any othe d conditions and c ed the Mandate Fo	s by debiting my/our b orm LIC Mutual Fund// year i.e. April to Mar er mode), payable to contents of the SID, S/	ank account through RTA about any chan ch does not exceed him for the different AI, KIM and Addenda	h Direct Debit / NACH ges in my/our bank a d Rs. 50,000/- (Rupe competing Scheme a issued from time to	I facility. If the transaction account. I/We confirm tha ees Fifty Thousand) (ap s of various Mutual Fund time of the respective So	n is delayed or n at the aggregate plicable for "Mi ds from among cheme(s) of LIC	not effected for reasons of inc e of the lump sum investmen icro investments" only). The jst which the Scheme is bei C Mutual Fund. I/We hereby	complete or incorre it (fresh purchase & ARN holder has ng recommended authorize the bank	ect information, I/We would & additional purchase) and disclosed to me/us all the to me/us. I/We have read, < to honour such payments	
Date :	8			8			8			
Place : First/Sole		SIGN HERE Applicant/Guardian/POA Hold		SIGN HERE r Second Applicant/POA Hold			SIGN HERE Third Applicant/POA Holder			
ACKNOWLEDGMENT		pplication No			(TO BI	E FILLED	IN BY THE INVES	STOR)		
SIP through Auto Debit (N	ACH / PDC)									
Folio No./Application No.		SIP Mandate		Received from: OTM/PDC	Mr./ Ms. /M/s					
Corporate Office: Industrial Assurance Building, 4th Tel.: 022-66016000 Fax: 022-66016 Website: www.licmf.com Toll Free: 1	urchgate Station, M	Reg KFir Nan Tel.:	Register & Transfer Agents: KFin Technologies Private Limited, Karvy Selenium Tower B, Plot Nos. 31 & 32 Financial District Nanakramguda Serilingampally Mandal Hyderabad - 500032. Tel.: 040-44677131-40 Fax: 040-22388705 Email ID: licmf.customercare@kfintech.com Website: www.kfintech.com							

TERMS & CONDITIONS

- i) SIP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box
 - Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and relevant addendum, if any, of the respective schemes carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment.
 - PAN is a mandatory requirement for all investors for investing in the mutual fund, except for Micro Investments and investors from Sikkim. Micro Investments exemption will be applicable only to investments by individuals [including NRIs but excluding Persons of Indian Origin (PIOs)], minors, sole proprietary firms and to investments made by joint holders. KYC Compliance is mandatory for all investors, irrespective of the amount of investment.
 - A Micro SIP application will be rejected where it is found that the registration of the application will result in the aggregate of Micro SIP installments in a financial year exceeding 50,000 or where there are deficiencies in supporting documents.

(ii) SIP Registration

- Existing unit holders need not fill in the common investment application form. New applicants need to compulsorily fill in all sections in the Common Application Form in addition to this SIP form except LIC MF Unit Linked Insurance Scheme.
- Please furnish the existing folio number or application number in case of new investor in SIP mandate form.
- Investors are required to submit SIP request at least 30 days prior to the date of first debit through NACH/OTM//Direct debit.
- SIP through existence UMRN investors can start SIP on T+3 basis.
- Minimum SIP registration period should be for one year.
- SIP start date shall not be beyond 60 days for monthly SIP and for Quarterly SIP 90 from the date of submission of SIP application.
- Any day SIP date is applicable from 1st to 28th, investor can choose any date between these dates.
- Any day SIP is not applicable for LIC MF Unit Linked Insurance Scheme. In case of LIC MF Unit Linked Insurance Scheme SIP date is 15th of every month only.
- Each of the installments under each SIP (excluding the initial cheque) should be of the same date and amount.
- Existing investors can start SIP without cheque however the copy of the canceled cheque is mandatory.
- In case the unitholder has not indicated SIP preferences completely in the form or in case of any discrepancy, the default SIP frequency would be 'Monthly' and the default SIP date would be '10th'. In case the SIP 'End Period' is
 incorrect or not mentioned by the investor in the SIP form, then the SIP request will get rejected. The maximum SIP registration period is 40 years. SIPs will be registered in a folio held by a minor only till the date of the minor
 attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unitholder attaining 18 years of age.
- The enrolment period i.e Start and End Month/ Year specified for the SIP should be less than or equal to the enrolment period mentioned in the Mandate If the initial cheque given from different bank from the bank details mentioned in SIP mandate then it is mandatory to submit the copy of cancelled cheque leaf (issued by the bank branch mentioned overleaf) in SIP Mandate.
- Daily Frequency for an amount of `300/-.

(iii) New Fund Offer

- Investors may submit the mandate Form during the NFO period for such Schemes as may be decided by AMC from time to time. In such case the mandate shall commence on the dates stipulated by the Investor after a gap of
 at least 20 days from the date of re-opening of the Scheme for purchase and redemption of units. For e.g. If the Units of the Scheme re-opens for purchase and redemption post NFO on 16th December, the earliest Installment
 date can be only on 7th January of the following year.
- In case SIP/ Micro SIP investments are made through 'Third Party Payments' i.e. payment made through an instrument issued from a bank account other than that of the first named applicant/ investor mentioned in the application form, Investors are required to additionally fill up & submit the 'Third Party Payment Declaration Form'(available at any of our ISCs or on our website: www.licmf.com along with the SIP Enrolment Form.
- In respect of enrollments, the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure. (Please refer SID of respective scheme)

(IV) SIP through Post dated Cheques- (Only CTS-2010 compliant cheques)

All installment cheques excluding initial investment cheque under MSIP & QSIP should be of uniform amount and date. Any day SIP date is applicable from 1st to 28th, investor can choose any date between these dates. Please draw your cheques in the name of the Scheme/Plan in which the amount is invested. Returned Cheques, if any, may not be presented again.

(V) SIP Transactions related

- The SIP enrollment will be discontinued in cases where three consecutive installments are not honored or the Bank Account [for NACH (Debit clearing)/ Direct Debit etc.]
- In case the first SIP installment is processed (as the cheque may be banked) and the application is found to be defective, the SIP registration will be ceased for future installments. Investor will be sent a communication to this
 effect. No refunds shall be made for the units already allotted. However, redemptions/ switch-outs shall be allowed. (Please read SID/KIM of respective scheme).

Units will be allotted on the applicable dates subject to realization of funds In case the date falls on a Non- Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV subject to the realization of proceeds. Further, in case of first SIP investment accepted through cheque drawn on a location where ISCs of LIC Mutual Fund does not have a presence but HDFC Bank has a presence, units will be allotted on the date on which subscription proceeds are realized.

The Unit holder(s) agree that the Fund/AMC / RTA and their agents shall not be held liable for any unsuccessful registration and or transaction due to any action or inaction of the unitholders' bank including but not limited to reasons mentioned below and agree to indemnify the Fund/AMC/RTA for all liabilities, losses, damages and expenses which they may consequent sustain or incur either directly or indirectly:

a. Loss of the debit mandate form in transit from point of acceptance of the form to RTA head office and further to the unit holder(s)' bank branch;

- b. Non acceptance or rejection of debit mandate for whatsoever reason by the unit holder(s) bank branch, with or without any reason assigned by the unit holder(s) bank;
- c. Non registration of the debit mandate by the unit holder(s)' bank and branch.
- d. Deemed registration due to non confirmation of registration or rejection by the bank and any subsequent rejection of debit of bank account for funds;
- e. Non availability of funds in the bank account of the Unit holder(s) at the time of debit.
- f. Rejection of registration or transaction debit for any reason or without assigning any reason whatsoever
- g. AMC / RTA will not be responsible for the charges levied by his banker for registering / processing any transaction.

(VI) Discontinuation of SIP

• Investors can discontinue his SIP at any time by sending a cancelation of mandate to any Official Point of Acceptance or to the registrar Kfintech

Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment / debit.

(VII) Change of Bank mandate

Investor can change his bank mandate by sending the mandate with option selected as "Create Mandate"

Request for change in bank account submitted at least 30 days before the next SIP Auto Debit

(VIII) STEP-UP Facility

a. STEP-UP facility offers frequency at half yearly and yearly intervals. In case the STEP-UP frequency is not specified, it will be considered as yearly frequency.

b. Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount.

- c. This facility is available for individual investors only.
- d. Minimum STEP-UP amount would be `500/- and in multiples of `1/- thereafter for all the schemes of the Fund that offer SIP facility except LIC MF Tax Plan where in minimum STEP-UP amount would be `500/- and in multiples of `500 thereafter.

e. In case the investor does not specify STEP-UP amount, `500/- will be considered as the STEP-UP amount and the request will be processed accordingly

f. STEP-UP facility would be available to all new SIP enrolments.

g. Existing investors registered for SIP through NACH/ECS/Direct Debit facility and intending to avail STEP-UP facility will be required to cancel the existing SIP and enroll a fresh SIP with STEP-UP details.

h. It would be mandatory for investor to mention in 'SIP cum Registration Mandate' the period (month - year) upto which he wishes to avail STEP-UP facility.

(IX) Consent for Tele-Marketing:

- LIC MF shall treat this as an explicit consent by the Unit Holder/(s) to send promotional information/ material to the mobile number(s)/ email id provided by the Unit Holder/(s) in this Application Form and such consent shall supersede all the previous consents/ registrations by the Unit Holder/(s) in this regard.
- If you do not wish to receive such promotional information/ materials, please write to us at service@licmf.com or submit a written application at any of the Investor Service Centres (ISC) of the Fund. Please quote your PAN and folio number(s) while communicating with us to help you serve better.